

12/14/00  
U.S. PTO

12-18-00

To: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

12/14/00  
JC825 U.S. PTO  
09/738431  
12/14/00

## NEW APPLICATION TRANSMITTAL - UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

**Inventor(s):** Richard S. Ginn, et al.

**Title:** Devices for Sealing Openings Through Tissue and Apparatus and Methods for Delivering Them

### I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

23 Page(s) of Written Description  
8 Page(s) Claims  
1 Page(s) Abstract  
3 Sheets of Drawings  Informal  Formal

### II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

Declaration  
 Power of Attorney  Separate  Combined with Declaration  
 Assignment to \_\_\_\_\_ and assignment cover sheet  
 Certified Copy of Priority Document No(s):  
 Information Disclosure Statement w/PTO 1449  Copy of Citations  
 Preliminary Amendment  
 Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
 Return Postcard  
 Other:

### III. PRIORITY

Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 .S.C. § 119.

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### CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL494996795US

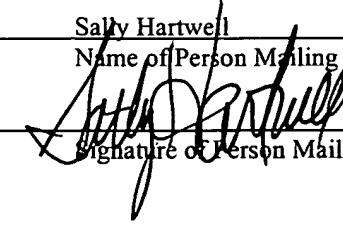
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December 14, 2000

Date of Deposit

Sally Hartwell

Name of Person Mailing Paper

  
Signature of Person Mailing Paper

**IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

Applicant claims small entity status pursuant to 37 CFR § 1.27

<b>BASIC FILING FEE:</b>						\$710.00
Total Claims	38	-	20	=	18	x \$18.00
Independent Claims	4	-	3	=	1	x \$80.00
Multiple Dependent Claims	\$270	(if applicable)				\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$1,114.00
Reduction by $\frac{1}{2}$ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28.						<input checked="" type="checkbox"/> \$557.00
Misc. Filing Fees (Recordation of Assignment -- \$40)						\$0.00
<b>TOTAL FEES DUE HEREWITH</b>						\$557.00

**V. METHOD OF PAYMENT OF FEES**

A check in the amount of \_\_\_\_.  
 Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \_\_\_\_.  
 This application is being filed without fee or Declaration under 37 CFR § 1.53.

**VI. AUTHORIZATION TO CHARGE FEES**

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12-2475 for the following:

37 CFR § 1.16 – (Filing fees and excess claims fees)  
 37 CFR § 1.17 – (Any application processing fees)  
 37 CFR § 1.21 – (Assignment recording fees)

**VII. CORRESPONDENCE ADDRESS**

Please send all correspondence to Customer Number 22249:



22249  
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Please direct all inquiries to William A. English, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

By:   
William A. English  
Reg. No. 42,515

Dated: December 14, 2000